Applicant or Patentee;

Crystal et al.

Application or Patent No. Filed or Issued:

July 31, 2000

For:

METHOD OF ENHANCING BONE DENSITY

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS 37 C.F.R. §§ 1.9(f) & 1.27(d) - NONPROFIT ORGANIZATION

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

Name of Organization:

Cornell Research Foundation, Inc.*

Address of Organization:

20 Thornwood Drive. Suite 105

Ithaca, New York 14850
Type of Nonprofit Organization:
University or other institution of higher education. Tax exempt under Internal Revenue Service Code (26 U.S.C. §§ 501(a) and 501(c)(3)). Nonprofit scientific or educational organization under statute of state of the United States of America; Name of State: Citation of Statute: Would qualify as fax exempt under Internal Revenue Service Code (26 U.S.C. 86 501(a))
Citation of Statute: Would qualify as tax exempt under Internal Revenue Service Code (26 U.S.C. §§ 501(a) and 501(c)(3)) if located in the United States of America. Would qualify as nonprofit scientific or educational organization under statute of state of the United States of America if located in the United States of America. Name of State: Citation of Statute:
I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 C.F.R. § 1.9(e) for purposes of paying reduced fees under Sections 41(a) and (b) of Title 35, United States Code, with regard to the invention entitled METHOD OF ENHANCING BONE DENSITY, by the inventors Ronald G. Crystal, Chisa Hidaka, Oheneba Boachie-Adjei, Bernard A. Rawlins, and Imre Kovesdi, as described in:
The specification filed herewith. Application No., filed Patent No., issued
hereby declare that rights under contract or law have been conveyed to and remain with the comprosit organization with regard to the above-identified invention.

"Cornell Research Foundation, Inc., is a Corporation which is wholly owned by Cornell University handling Patents and Licensing.

In re Application of Crystal et al. Attorney Docket No. 205965

Others Having Rights In The Invention

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 C.F.R. § 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 C.F.R. § 1.9(d), or a nonprofit organization under 37 C.F.R. § 1.9(e). (NOTE: Separate verified statements are required from each named person, concern, or organization having rights to the invention averting to his/her/its status as a small entity.)

	Name: Address:	535 Ea	ospital for Special Surgery st 70th Street ork, New York 10021		
	☐ Individua		Small Business Concern	\boxtimes	Nonprofit Organization
The state of the s	Name: Address:		c, Inc. at Watkins Mili Road sburg, Maryland 20878		
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Title ir	of Person Sign n Organization ss of Person Sig	ening: 2	James A. Severson President 20 Thornwood Drive, Suite Ithaca, New York 14850	105	
	A.	9		7121a. 1	21 8000
1	Signature		Will be discovered	July	1, 2000 Date
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PATENT Attorney Docket N . 205965

Applicant or Patentee: Application or Patent No. Crystal et al.

Filed or Issued:

July 31, 2000

For:

METHOD OF ENHANCING BONE DENSITY

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS 37 C.F.R. §§ 1.9(1) & 1.27(d) - NONPROFIT ORGANIZATION

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

Name of Organization:

The Hospital for Special Surgery 535 East 70th Street

Address of Organization:

New York, New York 10021

Тур	e of Nonprofit Organization:
X	University or other institution of higher education. Tax exempt under Internal Revenue Service Code (26 U.S.C. §§ 501(a) and 501(a)(3))
	501(c)(3)). Nonprofit acientific or educational organization under statute of state of the United States of America: Name of State:
	(Tropion of Nisolia'
=	Would qualify as tax exempt under Internal Revenue Service Code (26 U.S.C. §§ 501(a) and 501(c)(3)) if located in the United States of America. Would qualify as nonprofit scientific or educational organization under statute of state of the United States of America if located in the United States of America. Name of State:
: <u>1</u>	Citation of Statute:
orga Sec enti Chi	reby declare that the nonprofit organization identified above qualifies as a nonprofit anization as defined in 37 C.F.R. § 1.9(e) for purposes of paying reduced fees under tions 41(a) and (b) of Title 35, United States Code, with regard to the invention tled METHOD OF ENHANCING BONE DENSITY, by the inventors Ronald G. Crystal, sa Hidaka, Oheneba Boachie-Adjei, Bernard A. Rawlins, and Imre Kovesdi, as cribed in:
	The specification filed herewith. Application No. , filed Patent No. , issued .
I he	reby declare that rights under contract or law have been conveyed to and remain with

In re Application of Crystal et al. Attorn y Docket No. 205965

Others Having Rights In The Invention

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 C.F.R. § 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 C.F.R. § 1.9(d), or a nonprofit organization under 37 C.F.R. § 1.9(e). (NOTE: Separate verified statements are required from each named person, concern, or organization having rights to the invention averring to his/her/its status as a small entity.)

Name: Address:	20 Thorn	lesearch Foundation wood Drive, Suite low York 14850	on, Inc. 5 105			
☐ Individu	al 🗆	Small Business C	oncern	⊠ Non	profit Orga	nization
Name: Address:	GenVec, 65 West Gaitheral	Inc. Watkins Mill Rose burg, Maryland 20	d 878			
☐ Individu	al 🛛	Small Business C	oncern	Non	profit Orge	nization
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Name of Person Sig	ming:	TVEA IT	TOVOS			NEW
Title in Organizatio	m:	EVECUI	IVE	VICE	10000	
Address of Person 8	Signing: 5 N	35 East 70 th Street lew York, New Yo	t ork 10021			
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PATENT Attorney Docket No. 205965

Applicant or Patentee:

Crystal et al.

Application or Patent No. Filed or Issued:

July 31, 2000

For!

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METHOD OF ENHANCING BONE DENSITY

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS 37 C.F.R. §§ 1.9(f) & 1.27(c) - SMALL BUSINESS CONCERN

I hereby declare that I	0 171 '

the owner of the small business concern identified below: an official of the small business concern empowered to act on behalf of the concern 叉 identified below:

Name of Concern:

GenVec, Inc.

Address of Concern: 65 West Watkins Mill Road

Gaithersburg, Maryland 20878

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 C.F.R. § 121.3-18, and reproduced in 37 C.F.R. § 1.9(d), for purposes of paying reduced fees under Sections 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement: (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time, or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either directly or indirectly one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention entitled: METHOD OF ENHANCING BONE DENSITY, by the inventors Ronald G. Crystal, Chisa Hidaka, Oheneba Boachie-Adjei, Bernard A. Rawlins, and Imre Kovesdi, as described in:

\times	The specificat	ion filed herewith. o. , filed .
	Application N	o. , filed .
	Patent No.	, issued .

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above-identified invention.

In re Application of Crystal et al. Attorney Docket No. 205965

Others Having Rights In The Invention

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 C.F.R. § 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 C.F.R. § 1.9(d), or a nonprofit organization under 37 C.F.R. § 1.9(e). (NOTE: Separate verified statements are required from each named person, concern, or organization having rights to the invention averring to his/her/its status as a small entity.)

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Peril 144	☐ Individua	1 [Small Business	Concern (X	Nonprofit Organization
ing the state of t	Name: Address:	20 Th	ll Research Foundat omwood Drive, Sui , New York 14850	•		
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Name Title ir	of Person Sign Organization	ing;	Paul H. Fischer President and CEO 65 West Watkins M Gaithersburg, MD	fill Road	10 4.9	
Pa	ul HS	Lavi	h	Tul	v 1	1. 2000
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